

03-30-01

A

## EXPRESS MAIL CERTIFICATE

Date 3/29/01 Label No. 853598211Us

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

DB Peck [Signature]  
Name (Print) Signature

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No: 4970/OJ035

**DARBY & DARBY P.C.**

805 Third Avenue  
New York, New York 10022  
212-527-7700

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Enclosed please find an application for United States patent as identified below:

Inventor/s (name ALL inventors): Koji FUJIYAMA

Title: CONTROL APPARATUS FOR OPTICAL PICKUP AND OPTICAL DISC APPARATUS

including the items indicated:

1. Specification and 4 claims: 2 indep.; 2 dep.;    multiple dep.; including 18 page(s) of written description; 2 page(s) of claims; 1 page(s) of abstract.
2. ☒ Drawings, 10 sheets  
(Figs. 1, 2A-2D, 3A-3E, 4A-4E, 5A-5B, 6-9, 10A-10B)
3. ☒ Executed Declaration/Power of Attorney  
☐ Unexecuted Declaration/Power of Attorney

4. ☐ Application Data Sheet under 37 C.F.R. §1.76.
5. ☒ Assignment for recording to: SANYO ELECTRIC CO., LTD.

☐ Assignment was recorded at Reel No. , Frame No. , on .

☒ Pursuant to 37 C.F.R. §1.215(b), please print the following assignment information on the face of the published application:

Assignee: SANYO ELECTRIC CO., LTD.,  
5-5, KEIHAN-HONDORI, 2-CHOME,  
MORIGUCHI-SHI, OSAKA 570-8677, JAPAN

6. ☐ The applicant claims small entity status.
7. ☒ Priority is claimed under 35 U.S.C. §119(b) of:

Country: JAPAN  
Number: 2000-092168  
Date: MARCH 29, 2000

A certified copy of the prior document ☒ is enclosed ☐ will follow  
☐ was filed in a previous application.

8. ☐ Priority is claimed under 35 U.S.C. §119(e) of:

Number:  
Date:

A certified copy of the prior document ☐ is enclosed ☐ will follow  
☐ was filed in a previous application.

9. ☐ Request and Certification under 35 U.S.C. §122(b)(2)(B)(i) for Nonpublication.


Serial No.

Docket No. 4970/OJ035

10. ☒ Payment in amount of \$750.00, (\$710 filing; \$40 recording)  
in the form of  
☒ check  
☐ deposit account no. 04-0100  
☐ credit card (see attached form)  
(See attached **Fee Computation Sheet**)
11. ☐ Preliminary Amendment
12. ☒ Information Disclosure Statement
13. ☐ Nucleotide or Amino Acid Sequence Listing.
- ☐ Hard Copy                      ☐ CRF

Date: March 29, 2001

Respectfully submitted,

  
Joseph B. Lerch  
Reg. No. 26,936  
Attorney for Applicant(s)

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee . . . . .				\$710.00
Total Claims	4 - 20	- 0 = 0	x \$18.00	\$0.00
Independent Claims	2 - 3	- 0 = 0	x \$80.00	\$0.00
Multiple Dependent Claims		- if so, add	\$270.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$0.00
SUBTOTAL . . . . .				\$710.00
<input type="checkbox"/> Small Entity REDUCTION (Half of Subtotal) . . . . .				\$0.00
Fee for recordation of assignment (\$40.00) . . . . .				\$40.00
Charge for filing non-English language application (\$130.00) . . . . .				\$0.00
TOTAL . . . . .				\$750.00